

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gary Alan KEMP II, et al.  
Title: CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF MARKET DEPTH  
Appl. No.: Unknown  
Filing Date: June 9, 2000  
Examiner: Unknown  
Art Unit: Unknown

Jc511 U.S. PTO  
09/590692

06/09/00

**UTILITY PATENT APPLICATION  
TRANSMITTAL**

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Gary Allan Kemp II  
Jens-Uwe Schluetter  
Harris Brumfield

Enclosed are:

- Specification, Claim(s), and Abstract (30 pages).
- Informal drawings (6 sheets, Figures 1-6).
- Unsigned Declaration and Power of Attorney (4 pages).
- Information Disclosure Statement.
- Form PTO-1449 with copies of \_\_\_\_\_ listed reference(s).

The filing fee is calculated below:

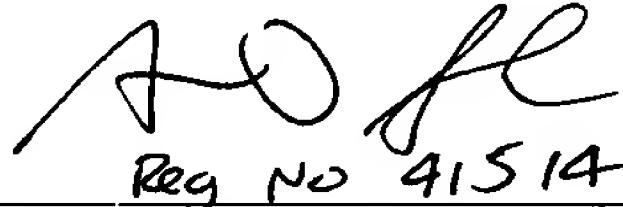
|   | Claims<br>as Filed                               | Included in<br>Basic Fee | Extra<br>Claims | Rate              | Fee<br>Totals |
|---|--|--------------------------|-----------------|-------------------|---------------|
| Basic Fee                                   |  |                          |                 | \$690.00          | \$690.00      |
| Total Claims:                               | 40   | - 20                     | = 20            | x \$18.00         | = \$360.00    |
| Independents:                               | 6  | - 3                      | = 3             | x \$78.00         | = \$234.00    |
| If any Multiple Dependent Claim(s) present: |  |                          |                 | + \$260.00        | = \$0.00      |
|   |  |                          |                 | SUBTOTAL:         | = \$1284.00   |
| [ X ]                                       | Small Entity Fees Apply (subtract 1/2 of above): |                          |                 | =                 | \$642.00      |
|   |  |                          |                 | TOTAL FILING FEE: | = \$642.00    |

- A check in the amount of \$642.00 to cover the filing fee is enclosed.
- The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

By \_\_\_\_\_



Reg. No. 41514

Date June 9, 2000

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